## City of Shreveport

## Department of Community Development October 11, 2011

# Notice of Funding Availability (NOFA) Community Development Block Grant (CDBG) Public Service Activities

**AGENCY:** Department of Community Development

**ACTION:** Notice of Funding Availability (NOFA)

**SUMMARY:** 

This NOFA announces the availability of funding under CDBG to community based, not-for-profit organizations that implement programs and/or projects addressing designated non-housing services for the elderly and youth. Funding is contingent upon the approval of the U. S. Department of Housing and Urban Development and the City receiving the equivalent of its 2011 funding. Only organizations, with a 501(c) (3) nonprofit status and a minimum of one year experience providing one of the related services are qualified to apply.

At least one project will be funded that encompasses the "Let's Move Initiative," to bring parents, schools and businesses together to combat the growing childhood obesity epidemic. The "Let's Move Initiative," goal is to end childhood obesity within a generation and also hopes to end 'food deserts,' or places that lack grocery stores which forces people to buy less-nutritional food at higher prices at gas stations.

The City of Shreveport is entering the fourth year of its *Consolidated Strategy Plan* (CSP). The CSP is a five year housing and community development plan which serves as the planning and application process for the following federal formula grants awarded to the City of Shreveport by the U.S. Department of Housing and Urban Development (HUD): Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Shelter Grant Program (ESG). The CSP identifies priority housing, economic development, non-housing services, homeless services, public services, and strategies to address these needs. The City will only consider funding projects which are consistent with the Consolidated Strategy Plan.

You may access this NOFA by going to <a href="www.shreveportla.gov">www.shreveportla.gov</a> and clicking on Department of Community Development or you may pick up a copy at the Department of Community Development, 401 Texas

Street, First Floor, Shreveport, LA 71101. The grant period for funding is January 01, 2012 through December 31, 2012.

**DATES:** 

One original completed application plus six (6) copies must be received by 5:00 p.m., Wednesday, November 09, 2011 hand-delivered to the attention of Ms. Bonnie Moore, Director, City of Shreveport, Department of Community Development, 401 Texas Street, First Floor, or mailed to Post Office Box 31109, Shreveport, LA 71130.

APPLICATIONS <u>MAY NOT</u> BE SENT BY FACSIMILE (FAX) or BY ELECTRONIC MAIL (E-MAIL). **There will be absolutely no exceptions.** 

**FORMAT:** 

All applications must be completed using the forms supplied with this NOFA. Any application not following the prescribed format will not be considered for funding. The City of Shreveport reserves the right to request additional information pursuant to this application.

**DISCLAIMER:** 

All proposals submitted become the property of the City of Shreveport. Submission of a proposal does not commit the City of Shreveport to award a contract or to pay for any costs incurred in the preparation of a proposal. The City has the right to extend the submission deadline should such extension be in the interest of the city. Proposers have the right to revise their proposals in the event the deadline is extended. The City of Shreveport reserves the right to reject any proposal.

# PROPOSER'S CONFERENCE:

An informational workshop will be held at 4:00 p.m. on Thursday, October 20, 2011 at Department of Community Development, 401 Texas Street, Second Floor Conference Room, Shreveport, Louisiana. Interested organizations are strongly encouraged to attend the proposers' conference. Attendance is not mandatory, but proposers will be held responsible for all information presented at the meeting.

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# I. CHECKLIST OF REQUIRED DOCUMENTS

Cover Sheet;	(1)
Proposal Narrative;	(2)
A Listing of your current Board of Directors and their affiliations;	(3)
A copy of your current IRS $501(c)(3)$ tax exempt statement evidencing that you are not a private foundation and an explanation of any changes in your IRS status;	(4)
Most Current Financial Statement or Audit (not to exceed two years old);	(5)
Board of Directors Authorizing Resolution to Apply for the City of Shreveport Funds (2010 or 2011);	(6)
Article of Incorporation and Bylaws;	(7)
Vendor's Application (if new applicant);	(8)
Evidence of Insurance (available upon notification of funding;	(9)
W-9 Form;	(10)
Evidence of Zoning Approval;	(11)
Proposed Agency Budget for Fiscal Year;	(12)
List of Staff Members and Positions;	(13)
Evidence of Occupational License;	(14)
Purchasing Affidavit (Section 26-211)	(15)

## II. BACKGROUND

#### **AUTHORITY:**

The **Community Development Block Grant** is authorized by Title I of the Housing and Community Development Act of 1974, as amended. Funds available under this NOFA are subject to the requirements of the amended CDBG regulations located at 24 CFR Part 570.

The City of Shreveport, through this NOFA, will fund programs that address public service activities for program years 2011-2012.

## III. MAKING THE CONNECTION

## A. **HUD NATIONAL OBJECTIVES:**

Pursuant to 24 CFR 570.208, all activities funded through the **Community Development Block Grant (CDBG)** must demonstrate that the activity meets at least one of the following HUD -CDBG national objectives:

- Activities benefiting low and moderate income persons;
- Activities which aid in the prevention or elimination of slums or blight;
- Activities designed to meet community development needs having a particular urgency.

For the purpose of this NOFA, activities benefiting low and moderate income persons is the <u>only</u> national objective applicable.

## B. <u>PERFORMANCE MEASUREMENT OBJECTIVES:</u>

HUD has implemented a mandated system of reporting performance measurements in a precise and timely manner. All recipients funded under this proposal must provide needed data to the city of Shreveport in order to be reimbursed for eligible expenses. All of the activities funded must identify one of the three performance measurements overarching objectives:

- <u>creating suitable living environment</u> (In general, this objective relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment);
- <u>creating economic opportunities</u> (This objective applies to the types of activities related to economic development, commercial revitalization, or job creation).

There are also three outcomes under each objective:

- (1) Availability/Accessibility,
- (2) Affordability, and
- (3) Sustainability.

Thus, the two objectives, each having three possible outcomes, will produce six possible "outcome/objective statements" within which to categorize grant activities, as follows:

<u>Availability/Accessibility</u>. This outcome category applies to activities that make services, infrastructure, housing, or shelter available or accessible to low- and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the affordable basics of daily living available and accessible to low-and moderate- income people.

<u>Affordability</u>. This outcome category applies to activities that provide affordability in a variety of ways in the lives of low-and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or daycare.

<u>Sustainability</u>: Promoting Livable or Viable Communities. This outcome applies to projects where the activity or activities are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefits to persons or low-and moderate-income people or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.

## **Examples:**

Listed below are the six (6) outcome categories for Community Development Programs. Each outcome category can be connected to each of the overarching objectives, resulting in a total of six groups of outcome/objective statements under which sub-recipient should report the activity or project data to document the results of their activities or projects. They are activities or projects that provide:

- Availability/Accessibility for the purpose of creating suitable living environments
- Availability/Accessibility for the purpose of creating economic opportunities
- Affordability for the purpose of creating suitable living environments
- Affordability for the purpose of creating economic opportunities
- Sustainability for the purpose of creating suitable living environments
- Sustainability for the purpose of creating economic opportunities

For the purpose of this application, the outcome categories are Availability/Accessibility, Affordability, and Sustainability for the purpose of creating suitable living environments

Listed below are examples of outputs that are relative to the funding categories under this application. Each output should relate to the intended outcome/objective of the program activities and community objectives.

- 20 youth have access to higher educational opportunities for the purpose of creating a suitable living environment
- 150 elderly persons have affordable health care for the purpose of creating a suitable living environment

#### C. TARGET POPULATIONS:

Applicants applying for funding under this NOFA should target services and activities to the following populations:

*The target populations include youth and elderly.* 

**Youth and Children:** For the purpose of this RFP, youth and children are defined as those persons between the ages of 8 and 24, with older youth being those between 18 and 24.

**Elderly:** For the purpose of this RFP, elderly is defined as a person who is at least 62 years of age.

#### D. INCOME ELIGIBILITY CRITERIA

Funded activities must benefit persons whose annual income does not exceed 80 percent of the median income for the area, as determined by HUD with adjustments for smaller and larger families. The current income limits are listed below, but are updated annually at the beginning of the fiscal year.

### **2011 HUD Income Guidelines**

Family Size	Very Low (50%)	Low (80%)
1	\$19,050.00	\$30,450.00
2	\$21,750.00	\$34,800.00
3	\$24,450.00	\$39,150.00
4	\$27,150.00	\$43,450.00
5	\$29,350.00	\$46,950.00
6	\$31,500.00	\$50,450.00
7	\$33,700.00	\$53,900.00
8	\$35,850.00	\$57,400.00

#### E. TARGET AREAS:

The City will only consider funding projects which are consistent with the Consolidated Strategy Plan.

\*Priority will be given to public service entities located in these nineteen (19) target areas: Allendale, Caddo Heights, Cedar Grove, Cherokee Park, Greenwood Acres, Highland, Hollywood, Ingleside, Lakeside, Ledbetter Heights, Martin Luther King, Mooretown, Queensborough, Reisor, Solo Hood, Stoner Hill, Sunset Acres, Waterside, and Werner Park.

## IV. ELIGIBLE ACTIVITIES

Public service activities are funded through the Community Development Block Grant; therefore the services should principally benefit low- to moderate -income persons. Federal regulations list a variety of public service activities; however, the Consolidated Strategy Plan (CSP) prioritizes public service needs for the city of Shreveport. The City is in search of innovative programs that work and can be replicated throughout of the City.

Due to the limited funds the City anticipates the total amount of contracts awarded for public service activities will not exceed \$300,000.

#### 1. \*Youth Services:

- o activities designed to provide extended hours and weekends
- o cultural enrichment activities
- o activities that address healthy lifestyles

\*All activities must have an educational enhancement component and a social challenge component

## 2. Elderly Services:

- o meals
- o transportation
- o health services
- o in-house maintenance
- o yard maintenance
- o activities that address healthy lifestyles

\*Priority will be given to youth and elderly services which provide extended services on evenings and weekends.

## V. INSURANCE REQUIREMENTS

Applicants must provide proof of insurance available upon notification of funding. Coverage must be in full force and effect at all times. Such insurance at a minimum must include the following coverage and limits of liability:

A. Commercial General Liability

Annual Aggregate \$2,000,000
Per Occurrence \$1,000,000
B. Commercial Auto Liability Insurance \$300,000
C. Worker's Compensation Insurance \$1,000,000

D. Fidelity Bonding (25% of Contract Amount)

**Subrogation Clause**, the Subrecipient and all of its insurers shall, waive all rights of recovery or subrogation against the City, its officers, agents or employees and its insurance companies.

**Additional Insured Clause,** the policy must be endorsed to name the City as an additional insured.

**NOTE:** These insurance limits are subject to change.

## VI. APPLICATION COVER SHEET

## City of Shreveport Department of Community Development

## **BACKGROUND INFORMATION**

Date:	Tax I.D. Number:
*DUNS Number:	E-Mail Address:
Submitted by:	Title:
Contact Person:	Title:
Legal Name of Agency:	
Address:	
Telephone Number:	Fax Number:
<u>PROJ</u>	ECT SUMMARY
Name of Project	
Amount Requested	_ Amount of Matching Funds
Neighborhood being Served	
Population Served: Youth Electron Served: Served: Population Served: Youth Electron Served: Youth Se	derly
PROJECT DESCRIPTION:	
I CERTIFY THAT ALL OF THE INFORMATION	ON PROVIDED IN THIS APPLICATION IS TRUE AND CORRECT.
SIGNATURE OF THE AUTHORIZED REP	PRESENTATIVE DATE

\*DUN AND BRADSTREET DATA: All applicants must obtain a Dun and Bradstreet Data Universal Numbering System (DUNS) and include it on this application. Failure to provide a DUNS number will prevent you from obtaining an award. Applicants may obtain a DUNS number by calling the toll-free request line at 1-866-705-5711 between 8:00 a. m. and 6:00 p. m.

## VII. APPLICATION OUTLINE

Your application must be formatted as outlined below. Proposals that are not submitted in the following format will not be reviewed. This section shall not exceed six double spaced pages in 12 font. The application outline must address all of the following items listed below.

### **Project Summary**: (10pts)

- o Identify the CDBG National Objectives your activities will meet;
- o identify the applicant and briefly describe your purpose, mission and goals;
- o indicate the reason for the grant request and the need to be addressed;
- o provide a brief summary of the program activities, and how they will be accomplished;
- o identify the population and area to be served; and
- o clearly and concisely summarize your request for funding, including total cost of the project, funds already committed and the amount requested under this proposal.

## Applicant Capacity: (15pts)

- O Describe the agency's qualifications, and the extent to which you have the organizational resources necessary to successfully implement the proposed activities in a timely and efficient manner;
- o indicate the staff member who possesses knowledge and experience in your proposed program; and
- o show relevant experience in managing grants and similar programs.

## Problem Statement: (15pts)

- o Specifically **define the problem and clearly document** the needs to be met or problems to be solved by the proposed project;
- o determine the extent of the problem in the geographic area that you will target with your program; and
- o the need should be related to the purpose of your proposed activities and documented, using sound and reliable data (statistics, survey findings, expert advice, studies, student data, and test results).

You are encouraged to link the documentation of need to data identified in the city's Consolidated Plan. To obtain a copy of the Consolidated Plan, contact the Department of Community Development at (318) 673-5900 or go to <a href="www.shreveportla.gov">www.shreveportla.gov</a>.

#### Program Narrative/Budget: (30pts)

- Outline the specific activities to be **performed**, **methodology** and **benefits** to be achieved;
- o describe the targeted population and the demographics of that population;
- o establish a clear time line for implementation;

- o identify **measurable objectives** stated in relation to the problem and the **expected outcomes**;
- o identify any collaborative partners associated with this project, and how this project will benefit the community. Special consideration will be given to those agencies creating partnerships that are appropriately designed for implementing the proposed activities;
- o the budget must be completed listing by line item the projected expenditures for the funds requested; and
- o list the amounts, types and source of match.

#### Leveraging Resources: (15pts)

o The applicant must demonstrate and document the ability to secure resources beyond those provided under this grant award, including private, other public, and mainstream resources.

Leveraging resources may include cash, cash equivalent (i.e., other federal, state and local grant awards) and in-kind contributions, such as services, donations or equipment. Please be sure to include supporting documentation of your resources.

## <u>Performance Measures</u> (15pts)

- o Present a **plan** for determining the degree to which objectives are met;
- o identify **one** of the two performance measures your program will meet (refer to pages 20-22);
- o identify specific **output** and **outcomes** or impacts that your program or activities will have on the community;
- o describe how you will make your services available/accessible, affordable, or sustainable to the target population you propose to serve; and
- o describe the specific tools to track output and outcome and the methodology you will use to measure your success in meeting your stated goals.

## VIII. SUBMISSION REQUIREMENTS

One original completed application plus six (6) copies must be received by 5:00 p.m., Wednesday, November 09, 2011 hand-delivered to the attention of Ms. Bonnie Moore, Director, City of Shreveport, Department of Community Development, 401 Texas Street, First Floor, or mailed to Post Office Box 31109, Shreveport, LA 71130. APPLICATIONS MAY NOT BE SENT BY FACSIMILE (FAX) or BY ELECTRONIC MAIL (E-MAIL). There will be absolutely no exceptions.

## IX. APPLICANT SELECTION PROCESS

#### A. <u>APPLICATION REVIEW</u>

Only one application will be accepted per eligible activity. Applications will not be accepted unless they meet the following requirements:

- Applicant eligibility. The applicant must be a non profit organization (IRS 501(c)(3) tax exempt status), and must have at least one year of experience in a related area. To be eligible for CDBG assistance, a public service must be either a new service or a quantifiable increase in the level of an existing service above that which has been provided in the 12 calendar months before the submission of the action plan.
- <u>Eligible population/target area to be served</u>. The population to be served must meet the eligibility requirements as identified on page 7
- <u>Eligible activities</u>. The activities for which assistance is requested must be consistent with those prescribed in Section III. (See pages 8, 9)
- Provide an executive summary of the agency's accomplishments for the previous twelve months.
- Match Requirements. The applicant must furnish a 50% match, with a minimum of 25% being a cash match and 25% in-kind.

## B. <u>CORE SELECTION CRITERIA</u>:

<u>Category</u> :	Maximum Points:
Project Summary	10
Applicant Capacity	15
Problem Statement	15
Program Narrative/Budget	30
Leveraging Resources	15
Performance Measures	15
Bonus Points	
Coordination and Collaboration (supported documentation)	5
Located in a Target Area	5
Any unduplicated service (not currently funded in your district)	5

#### C. ADDITIONAL SELECTION CRITERIA

Currently funded agencies or those who have received prior funding from the Department of Community Development (DCD) will also be evaluated on past performance in carrying out programmatic activities and contractual compliance. Factors such as agency ability to meet service delivery goals, timely expenditure of funds, timely reporting, accuracy of reporting, ability to meet audit requirements, and other programmatic and fiscal contractual requirements will be considered. **All unresolved monitoring findings must be cleared prior to applying.** These other factors will be considered in conjunction with the proposal score in developing an overall recommendation for agency funding.

All applications must be completed using the forms supplied with this Notice of Funding Availability. Any application not following the prescribed format will not be considered

## for funding.

For additional information, please contact Fred Thomas or Jackie Brown (318) 673-5900.

Applicants who physically deliver the proposal must have their proposal logged in. Under no circumstances should any applicant leave a proposal at the office without completing the required log-in procedure. Applicants who mail proposals should do so by certified mail, return receipt requested, or through overnight mail services, allowing enough time for the proposal to be received by the deadline date and time.

Proposals must be complete at the time of submission. No addendum will be accepted after the deadline date for submission of proposals.

Incomplete proposals will not be reviewed.

# D. CDBG PROGRAM BUDGET FORM

Fiscal Year 2012

Organization Nat	me:
<b>Project Name:</b>	

Please provide a detailed explanation of each line item.

Budget Categories				
Line Item Object	Funding Amount			Total Amount
	CDBG Amount	Other Cash Contributions	In-Kind Amount	

# X. ATTACHMENTS

Information of Vendor's Application and Commodity Codes
Vendor's Application
Authorizing Resolution
Certificate of Insurance
W-9 Form
Purchasing Affidavit (Section 26-211)

# INSTRUCTIONS FOR OBTAINING A VENDOR'S APPLICATION AND COMMODITY CODES FOR THE CITY OF SHREVEPORT

WEB SITE ADDRESS: WWW.CI.SHREVEPORT.LA.US

#### TO OBTAIN THE REQUIRED FIVE-DIGIT COMMODITY CODES FOR YOUR APPLICATION

Go to SECTION 900.

Select the letter of the alphabet that pertains to your product or service your company provides. **For example:** For "CONSTRUCTION," you will need to click on the letter "P" for PUBLIC WORKS, CONSTRUCTION, AND RELATED SERVICES. For "TOOLS," you will click on the letter "H" for "HANDTOOLS," or the letter "A" for "AUTO SHOP EQUIPMENT" if you sell "AIR POWERED SHOP TOOLS." For "GASOLINE," you will need to click on the letter "F" for "FUEL. The items that you are looking for may be listed with different names.

When you select a letter of the alphabet, you will be given a list of the 3-digit codes. Click on the 3-digit code to obtain the required 5-digit code. It is imperative that we have the 5-digit code in order for your application to be processed. Please remember that the main reason for using five-digit commodity codes is to identify vendors that carry the items that are needed by the City.

If you have any questions or need further assistance, please call the Purchasing Office at 318-673-5450.

Revised 6-12-03

## VENDOR'S APPLICATION (Revised 12-15-08)

Please e-mail, mail or fax application to: City of Shreveport □ Purchasing Division

PO Box 31109 
Shreveport, LA 71130-1109 505 Travis Street □ Suite 610 □ Shreveport, LA 71101-3042

Phone: (318) 673-5450 
Fax: (318) 673-5408

			web Si	te. www.sme			
All information must	be provided typed or	printed.	W-9 form				odf/fw9.pdf?portlet=3
□INITIAL			Copy of C	urrent Busine	ss/Occupation	al License &	W-9 Forms are Required.
APPLICATION	Date of Application:		Are they a	ttached? 🗌 y	/es □ no		
REVISION			AD.IUDIC	ATED PROPI	ERTY AFFIDA	VIT MAII FD?	<b>,</b>
Vendor Name:			/ LDOOD TO		ntification or S.		· <u> </u>
Sales (Order) Addre	ess (Street, City, State	& Zip Code):					
,	, ,,	,		Phone Num	ber:		
Remittance Address	S (Street, City, State &	Zip Code):					
	, (000, 0), 0	. —.р Оосо).		Fax Number:			
Web Site Address:				E-Mail Address:			
Type of Organization	n: 🗌 Partnership 🔲	Sole Proprietorsh	hip 🗌 Cor	poration DBE	Ownership _	%* 🗌 M	linority Ownership%
Type of Business or (Check all that apply	· Service:	ect/Engineer 🔲			er 🔲 Dis 🗌 Wh	stributor nolesaler	<ul><li>☐ MFGR'S Agent</li><li>☐ Construction</li></ul>
It is imperative that					on. These co	odes can be	accessed on the web at
							d the five digit codes will
be shown. All of	the 900 range com	modity codes ar	e for servi	ces & const	ruction. Plea	ase list all cor	mmodity codes that apply.
Use the back if more	e space is needed. W	hen working on	City prope	erty see Sect	ion 600 on the	e web for Ins	surance Requirements.
	·	•					-
(1)	(2) (3) _	(4)		(5)	(6)	_ (7)	(8)
(9) (1	10) (11) _	(12)		(13)	(14)	_ (15)	(16)
(16) (1	17) (18) _	(19)		(20)	(21)	(22)	(23)
(24) (2	25) (26) _	(27)		(28)	(29)	_ (30)	(31)
(32) (3	33) (34) _	(35)		(36)	(37)	(38)	(39)
(40) (4	41) (42) _	(43) _		(44)	(45)	(46)	(47)
Please c	heck all of the class	ifications below	that apply	. FSC requir	es certification	n by the Fai	r Share Office.
Small	Large	Fair Share Cert		advantaged	Archite		Women Owned
Business (SBE)	Business (LBE)						
	Dusiness (LDE)	(FSC)	Dusi	iness (DBE)	Engineer	(AEC)	Business (WBE)
Persons Authorized to sign bids and Contracts in your name (If an agent, so specify)  Persons to contact on matters concerning bids and contracts							
Name Official Capacity		oacity	Name Official Ca		Official Capacity		
I understand that I will need to watch for the City's ads in the legal section of <i>The Times</i> and/or on BidSync.com web site so that I will							
know when to contact the City for a copy of an Invitation for Bid (IFB), a Request for Proposal (RFP), or a Request for Statement of							
Qualification (RFS).							
I certify that the information supplied herein (including attached pages) is correct and that neither the applicant nor any person (or							
							ey owed to the City, is now
	se declared meligible	by any public ago	ency from t	plaating for fur	misning materi	ais, supplies	or services to any agency
thereof.	A		A 1		)		- this Firm
Signature of Person Authorized to Sign Name			Name	and Title of P	erson Authoriz	ea to Sign fo	r tnis firm

## **AUTHORIZING RESOLUTION**

Name of Organization:	:		
Be it resolved by the Bo	oard of Directors or Members of		domiciled
in		that	is hereby
authorized to sign any	y and all contracts and/or agreem	nents with the City of Shreveport and t	o do any and all things
necessary to execute	the contracts and/or agreement o	n behalf of this corporation.	
That I,	(Name)	,(Position	on of Authority)
herby certify that the above	and foregoing resolution is a true and c	orrect copy of a resolution of the Board of Direct	ectors or Members of this
organization which was pas	sed at a meeting, duly called on	at which a quorum was prese	nt. This resolution has been
entered into the records of the	his organization, has not been rescinded	or modified, and remains in full force and effe	ect on this date.
Dated this	day of	, 20	
WITNESSES:			
	S	ignature:	
	F	ederal Tax ID Number:	

ERTIFICATE OF INSURANCE City of Shreveport THIS IS TO CERTIFY THAT POLICIES OF INSURANCE ARE IN FORCE AS LISTED BELOW, SUBJECT TO THE TERMS AND CONDITIONS THEREOF URED: COMPANIES AFFORDING COVERAGE A. M. BEST COMPANY A **COMPANY B COMPANY C COMPANY D COMPANY E** S CERTIFICATE OF INSURANCE NEITHER AFFIRMATIVELY NOR NEGATIVELY AMENDS, EXTENDS, OR ALTERS THE COVERAGES AFFORDED BY THE POLICIES SHOWN BELOW, BUT THE VERAGES SHOWN BELOW MEET THE CITY CONTRACT SPECIFICATIONS EXCEPT AS SPECIFICALLY NOTED. POLICY POLICY EXPIRATION **TYPE OF INSURANCE POLICY NUMBER** LIMITS DATE (MM/DD/VV) FEECTIVE **GENERAL GENERAL LIABILITY** AGGREGATE \$ COMMERCIAL GENERAL PRODUCTS-LIABILITY COMP/OP AGG CLAIM PERSONAL & Ye Coverage included for XCU hazards OCCUR No ADV INJURY MADE \$ OWNER'S & CONTRACTOR'S Policies endorsed for mandatory 30 day notice Ye FACH No OCCURRENCE **PROT** provision s \$ Ye FIRE DAMAGE Policy endorsed for Subrogation Waiver No s (Any one fire) \$ MED EXP (Any Policy endorsed to specify the City of Shreveport as an additional insured Ye s one person) **AUTOMOBILE LIABILITY** \$ COMBINED SINGLE UNIT ANY AUTO ALL OWNED AUTOS \$ **BODILY INJURY** (Per person) SCHEDULED AUTOS HIRED AUTOS \$ **BODILY INJURY** Ye (Per accident) Policies endorsed for mandatory 30 day notice NON-OWNED AUTOS No provision Ye Policy endorsed for Subrogation Waiver No \$ **PROPERTY** Policy endorsed to specify the City of Shreveport as Ye DAMAGE No an additional insured AUTO ONLY-EA \$ **GARAGE LIABILITY** ACCIDENT Policies endorsed for mandatory 30 day notice Ye OTHER THAN ANY AUTO Nο AUTO ONLY s Ye **EACH ACCIDENT** \$ Policy endorsed for Subrogation Waiver No Policy endorsed to specify the City of Shreveport as Ye AGGREGATE No an additional insured **EXCESS LIABILITY** \$ Policies endorsed for mandatory 30 day notice Ye No OCCURRENCE provision s Ye \$ AGGREGATE UMBRELLA FORM Policy endorsed for Subrogation Waiver No s Ye OTHER THAN UMBRELLA Policy endorsed to specify the City of Shreveport as \$ No WORKER'S COMPENSATION AND an additional insured STATUTO **EMPLOYER'S LIABILITY** RY LIMITS Policies endorsed for mandatory 30 day notice Ye EACH ACCIDENT \$ No provision s This Worker's Compensation Policy provides coverage for all members of the insured organization, including an employer, a sole \$ Ye DISEASE-POLICY LIMIT No s Policy endorsed for Subrogation Waiver proprietor, a partner or bona fide officer of the organization and all employees. \$ DISEASE-EACH **EMPLOYEE** \$ OTHER SCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/SPECIAL ITEMS:

SIGNATURE:

NAME:

**CERTIFICATE HOLDER:** 

City of Shreveport

P.O. Box 31109 Shreveport, LA 71130	MAILING ADDRESS: CITY/SATE/ZIP:
	PHONE:
As an authorized representative, I certify that	nat the above fairly represents the policies in
Orce: (revise	sed 11-18-03)

Form W-9 (Rev. 11-2005) Page **2** 

• The U.S. grantor or other owner of a grantor trust and not the trust, and

• The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the recipient has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

- The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
  - 2. The treaty article addressing the income.
- 3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- 4. The type and amount of income that qualifies for the exemption from  $\ensuremath{\mathsf{tax}}$  .
- 5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments (after December 31, 2002). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

## Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- 2. You do not certify your TIN when required (see the Part II instructions on page 4 for details),

- 3. The IRS tells the requester that you furnished an incorrect TIN,  $\,$
- 4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see Special rules regarding partnerships on page 1.

#### **Penalties**

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

#### Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

Limited liability company (LLC). If you are a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Treasury regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line. Check the appropriate box for your filing status (sole proprietor, corporation, etc.), then check the box for "Other" and enter "LLC" in the space provided.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

Note. You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

#### Exempt From Backup Withholding

If you are exempt, enter your name as described above and check the appropriate box for your status, then check the "Exempt from backup withholding" box in the line following the business name, sign and date the form.

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Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

**Exempt payees.** Backup withholding is not required on any payments made to the following payees:

- 1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
- The United States or any of its agencies or instrumentalities.
- A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities.
- 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
- 5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

- 6. A corporation.
- 7. A foreign central bank of issue,
- 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
- A futures commission merchant registered with the Commodity Futures Trading Commission,
- 10. A real estate investment trust.
- 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
- 12. A common trust fund operated by a bank under section 584(a),
- 13. A financial institution.
- 14. A middleman known in the investment community as a nominee or custodian, or
- 15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt recipients listed above, 1 through 15.

IF the payment is for	THEN the payment is exempt for		
Interest and dividend payments	All exempt recipients except for 9		
Broker transactions	Exempt recipients 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker		
Barter exchange transactions and patronage dividends	Exempt recipients 1 through 5		
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt recipients 1 through 7		

<sup>&</sup>lt;sup>1</sup>See Form 1099-MISC, Miscellaneous Income, and its instructions.

## Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see How to get a TIN below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-owner LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC*) on page 2), enter your SSN (or EIN, if you have one). If the LLC is a corporation, partnership, etc., enter the entity's EIN.

**Note.** See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.socialsecurity.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer ID Numbers under Related Topics. You can get Forms W-7 and SS-4 from the IRS by visiting www.irs.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Writing "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

<sup>&</sup>lt;sup>2</sup>However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees; and payments for services paid by a federal executive agency.

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#### Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt recipients, see *Exempt From Backup Withholding* on page 2.

Signature requirements. Complete the certification as indicated in 1 through 5 below.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- **3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.
- 4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

# What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
<ol><li>Sole proprietorship or single-owner LLC</li></ol>	The owner <sup>3</sup>
For this type of account:	Give name and EIN of:
Sole proprietorship or single-owner LLC	The owner <sup>3</sup>
A valid trust, estate, or pension trust	Legal entity ⁴
Corporate or LLC electing corporate status on Form 8832	The corporation
Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

**Note.** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

#### **Privacy Act Notice**

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, the District of Columbia, and U.S. possessions to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

<sup>&</sup>lt;sup>2</sup>Circle the minor's name and furnish the minor's SSN

<sup>&</sup>lt;sup>3</sup> You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one). If you are a sole proprietor, IRS encourages you to use your SSN.

<sup>&</sup>lt;sup>4</sup> List first and circle the name of the legal trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see Special rules regarding partnerships on page 1.

## CITY OF SHREVEPORT-PURCHASING DIVISION

P.O. BOX 31109 SHREVEPORT, LOUISIANA 71130-1109 Suite 610, 505 TRAVIS SHREVEPORT, LA 71101-3042 Phone 318/673-5450 web site: www.shreveportla.gov Fax 318/673-5408

July 10, 2008
FAXED TO:
ATTENTION:
Section 26-211 of the Code of Ordinances has been changed effective February 5, 2008 to include the following:
(b) No contract to which the City is a party shall be awarded to any person who:  1) Has not paid all taxes, licenses, fees and other charges which are outstanding and due the City, or  2) Owns any property which is adjudicated to the City or which has demolition liens, grass cutting liens, or  3) Owns more than 25% of a legal entity that owns any property which is adjudicated to the City or which  has demolition liens, grass cutting liens, or any other property standards liens on it, or  4) Who has a member of his immediate family who owns any property which is adjudicated to the City or  which has demolition liens, grass cutting liens, or any other property standards liens on it.  d) For purposes of this subsection, the following terms shall have the following meanings:  1) "Immediate family" means the contractor's children, the spouses of his children, his brothers and their  spouses, his sisters and their spouses, his parents, his spouse, and the parents of his spouse.  2) "Own" shall mean to be the last record owner of property prior to a tax sale or adjudication.  Because of this, the City Attorney's Office has provided the attached affidavit that we must require of every  Contractor/Vendor that does business with the City. Please return this affidavit by mail at your earliest  convenience so that we can issue your firm purchase orders now or in the future. DO NOT RETURN  WITH ANY OF YOUR BID DOCUMENTS.  If you have any questions concerning this matter, please call the number listed below within three working  days after receipt of this letter.
Sincerely,
Tom Mattox, CPPO, C.P.M. Purchasing Agent
cc: File
Enclosure: Affidavit
If you have any questions, please call <u>Frances Antoine at 318/673-5450 or Mary Fuller at 318/673-5458</u> . Please mail original affidavit with notary seal to: Purchasing Affidavit, P.O. Box 31109, Shreveport, LA

If you have any questions, please call <u>Frances Antoine at 318/673-5450 or Mary Fuller at 318/673-5458</u>. Please mail original affidavit with notary seal to: Purchasing Affidavit, P.O. Box 31109, Shreveport, LA 71130. We will not be allowed to issue your firm a purchase order or payment until a properly executed affidavit is returned.

# APPENDIX 2-AFFIDAVIT ATTESTING THAT CONTRACTOR, LEGAL ENTITIES OF CONTRACTOR DO NOT OWN ADJUDICATED OR LIEN PROPERTY

BEFORE ME, the undersigned Notary Public duly qualif	ified and commissioned, came and appeared
	authorized representative of
	with a Federal I.D. Number of:
: and with a current e-mail ad	ddress of:
who does hereby state a	as follows, to-wit:
1.0 Contractor does not own any property which is adjudemolition liens, grass cutting liens, or any other proper subsection, the term "Own" shall mean to be the last recadjudication.	rty standards liens on it. For purposes of this
2.0 Contractor does not own more than 25% of a legal to the City or which has demolition liens, grass cutting li	
3.0 Contractor has paid all taxes, licenses, fees, and oth City.	her charges which are outstanding and due to th
4.0 Contractor will provide written notification to the City day after any of the above statements becomes invalid.	
5.0 This affidavit shall expire one year from the date sho	own below unless renewed by the contractor.
THUS DONE AND PASSED before me, Notary, on this	s day of, 20
Signature:	_ Title:
NOTARY PUBLIC:Signature	Seal:
IDENTIFICATION NUMBER:	
Note: The notary identification number is required. The	City of Shreveport also requires an original seal

Note: The notary identification number is required. The City of Shreveport also requires an original seal. This affidavit is required to document compliance with **City Ordinance 26-211.** If you have any questions, please call Mary Fuller at 318/673-5458 or call 318/673-5450. Please mail original affidavit with notary seal to: Purchasing Affidavit, P. O. Box 31109 or hand deliver to: 505 Travis St., Suite 610, Shreveport, LA 71101. Shreveport, LA 71130. Do not submit with your bid. **We will not be allowed to issue your firm a purchase order or payment until a properly executed original affidavit is returned**.

## **City of Shreveport**



